



# EPARTMENT OF COMMERCE **Patent and Trademark Office**

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	
09/448,508	11/24/99	WALSH		С	91436-220
			7		EXAMINER
022463 SMART AND BIGGAR		TM02/0927	·	_ ARMSTRONG A	
438 UNIVERS				ART UNIT	PAPER NUMBER
SUITE 1500 I TORONTO ON I CANADA		AIR MA	AIL	2641 DATE MAILED:	09/27/01

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 

		Application No.	Applicant(s)				
Office Action Summary							
		09/448,508	WALSH, CONAL P.				
		Examiner	Art Unit				
	- The MAILING DATE of this communication app	Angela A. Armstrong	2641				
Period for Reply							
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the maiting date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status							
1)🖂	Responsive to communication(s) filed on 24 November 1999.						
2a)□	This action is <b>FINAL</b> . 2b)⊠ Th	nis action is non-final.					
3)□	3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims							
4)⊠ Claim(s) 1-29 is/are pending in the application.							
4a) Of the above claim(s) is/are withdrawn from consideration.							
5) Claim(s) is/are allowed.							
6)⊠ Claim(s) <u>1-29</u> is/are rejected.							
7) Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and/or election requirement.							
Application Papers							
9) The specification is objected to by the Examiner.							
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.							
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.							
If approved, corrected drawings are required in reply to this Office action.							
12) The oath or declaration is objected to by the Examiner.							
Priority under 35 U.S.C. §§ 119 and 120							
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).							
a) ☐ All b) ☐ Some * c) ☐ None of:							
1. Certified copies of the priority documents have been received.							
2. Certified copies of the priority documents have been received in Application No							
<ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>							
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).							
<ul> <li>a) ☐ The translation of the foreign language provisional application has been received.</li> <li>15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.</li> </ul>							
Attachment(s)							
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) 2  4) Interview Summary (PTO-413) Paper No(s) 5) Notice of Informal Patent Application (PTO-152) 6) Other:							

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#### **DETAILED ACTION**

# Claim Objections

1. Claims 19, 21, 23, 25, 27, and 29 are objected to because of the following informalities: as claimed, claim 19 reads "the computing device of claim 17". However, claim 17 is a method claim. Examiner assumes claim 19 should refer to the computing device of claim 18. Claims 21, 23, 25, 27, and 29 all have similar informalities. Appropriate correction is required.

## Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 1-3, 5, 18-19 and 24-25 are rejected under 35 U.S.C. 102(b) as being anticipated by Kosaka et al (US Patent No. 5,220,629).
- 4. Regarding claims 1-3, 18-19 and 24-25 Kosaka discloses a speech synthesis apparatus and method and teaches

text analysis for determining the number of syllables in words in text segments, indicating the utterance speed of the text based on the syllables, and using the indicated utterance speed in generating synthetic speech from the text at col. 8, line 39 – col. 10, line 62; Figure 34 and col. 21, line 49 –col. 22, line 35.

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5. Regarding claim 5, Kosaka teaches changing the utterance speed of the based on threshold criteria at col. 9, line 34 – col. 10, line 16.

## Claim Rejections - 35 USC § 103

- 6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kosaka et al.
- 8. Regarding claim 4, Kosaka et al do not specifically teach decreasing the duration of pauses associated with selected punctuation in a text segment. However, it would have been obvious to one of ordinary skill at the time of invention to modify the speech synthesis system of Kosaka et al to implement modifying the duration of pauses associated with punctuation in a text segment, for the purpose of making the synthetic speech sound more like conversational speech and hence sound more natural.
- 9. Claims 6-12, 20-21 and 26-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Oikawa et al (US Patent No. 5,396,577) in view of Church (US Patent No. 5,146,405).
- 10. Regarding claims 6-7, 9-12, 20-21 and 26-27, Oikawa et al teaches

  Text analysis at Figure 2, sub-block 2; col. 3, lines 13-50

Assigning playback rates to segments based on categorizations of a determined degree of importance for the text at col. 3, line 37 – col. 5, line 4

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Generating synthetic speech based on the assigned playback rates and allows for the omission of speech for segments in which an indication of a slow playing rate was identified at col. 5, lines 28-37.

Oikawa et al do not specify that the categorizations of the determined degree of importance is based on grammatical analysis. Refer to Church who teach a method for part-ofspeech determination and usage which implements grammatical analysis of text and identifies parts of speech (including nouns) of the text (Abstract), and suggests that speech synthesis needs parts-of-speech analysis of input text to produce a result that sounds like human speech (col. 1. lines 14-24.

Therefore, it would have been obvious to one of ordinary skill at the time of invention to perform grammatical analysis of text to identify parts of speech of the text, as taught by Church, to implement parts of speech as the categories of degrees of importance in the speech synthesis system of Oikawa et al, for the purpose of producing synthetic speech that sounds more like human speech, as taught by Church.

11. Regarding claim 8, Oikawa et al do not specifically teach decreasing the duration of pauses associated with selected punctuation in a text segment. However, it would have been obvious to one of ordinary skill at the time of invention to modify the speech synthesis system of Oikawa et al to implement modifying the duration of pauses associated with punctuation in a text segment, for the purpose of making the synthetic speech sound more like conversational speech and hence sound more natural.

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12. Claims 13-17, 22-23 and 28-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Oikawa et al (US Patent No. 5,396,577) in view of Richard et al (US Patent No. 5,924,068).

13. Regarding claims 13-14, 16-17, 22-23 and 28-29 Oikawa et al teaches

Text analysis at Figure 2, sub-block 2; col. 3, lines 13-50

Assigning playback rates to segments based on categorizations of a determined degree of importance for the text at col. 3, line 37 – col. 5, line 4

Generating synthetic speech based on the assigned playback rates and allows for the omission of speech for segments in which an indication of a slow playing rate was identified at col. 5, lines 28-37.

Oikawa et al do not specify that the categorizations of the determined degree of importance is based on an inventory of pre-selected words. Refer to Richard et al who teach a system that uses text-to-speech synthesis to provide audio output of user selected text which implements a dictionary to provide syntactic and semantic prosody and allows users to provide keywords which are used to determine what information is to be selected for synthesis. Users are able to determine which information is read and vary the rate at which the information is read (Abstract).

Therefore, it would have been obvious to one of ordinary skill at the time of invention to implement pre-selected words as the categories of degrees of importance in the speech synthesis system of Oikawa et al, for the purpose of allowing system users to determine what text is synthesized and vary the rate at which the synthetic speech is produced, as suggested by Richard et al.

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14. Regarding claim 15, Oikawa et al do not specifically teach decreasing the duration of

pauses associated with selected punctuation in a text segment. However, it would have been

obvious to one of ordinary skill at the time of invention to modify the speech synthesis system of

Oikawa et al to implement modifying the duration of pauses associated with punctuation in a text

segment, for the purpose of making the synthetic speech sound more like conversational speech

and hence sound more natural.

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Angela A. Armstrong whose telephone number is 703-308-6258.

The examiner can normally be reached on Monday-Thursday 7:30-5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, William R. Korzuch can be reached on 703-305-6137. The fax phone numbers for

the organization where this application or proceeding is assigned are 703-308-6306 for regular

communications and 703-308-6296 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is 703-305-3900.

**AAA** 

September 24, 2001

WILLIAM KORZÚCH

SUPERVISORY PATENT EXAMINER

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